

LIT-124/GCD 98-25 N00


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Hodgson, et al. EXAMINER: Audrey Y. Chang
SERIAL NO.: 09/824,119 GROUP: 2872
FILED: 04/02/2001 DOCKET: LIT-124/GCD 98-25 N00
TITLE: ARCHITECTURE FOR LARGE OPTICAL FIBRE ARRAY USING
STANDARD 1x2 COUPLERS

#9/B
And
J. McInnes
6/17/02

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile transmission to Box RCE, Assistant Commissioner for Patents, Group Art Unit 2872, Attention: Examiner Audrey Y. Chang, Washington, D.C. 20231, at fax number (703) 872-9318, on June 6, 2002.


Robert J. Brill
Attorney for Applicants
Reg. No. 36,760

Date of Signature: June 6, 2002

Box RCE
Assistant Commissioner for Patents
Group Art Unit 2872
Attention: Examiner Audrey Y. Chang
Washington, D.C. 20231
Fax Number (703) 872-9318

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JUN 6 2002

TECHNOLOGY CENTER 2800

RESPONSE TO FINAL OFFICE ACTION

Dear Sir:

This paper is filed in response to the final Office Action mailed March 6, 2002 in connection with the above-designated application. A response to the Office Action is due June 6, 2002. Therefore, this Response is timely filed.

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1006.00**Complete if Known**

Application Number	09/824,119
Filing Date	04/02/2001
First Named Inventor	Hodgson
Examiner Name	Audrey Y. Chang
Group Art Unit	2872
Attorney Docket No.	LIT-124/GCD 98-25 N00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 60-1941
Deposit Account Name: Grossman, Patti & Brill

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
108 330	208 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims: 24
Independent Claims: 4
Multiple Dependent: 20

Extra Claims: 4
Fee from below: 18.00
Fee Paid: 72.00

3** = 1 x 84.00 = 84.00
SUBTOTAL (2) (\$) 156.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 156.00

**or number previously paid, if greater. For Reissues, see above.

FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or cover sheet	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
118 400	218 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 480	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	681 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	740.00
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) Statutory Disclaimer			110.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 850.00

SUBMITTED BY		Complete if applicable	
Name (Print/Type)	Robert J. Brill	Registration No. (Attorney/Agent)	36,760
Signature	<i>Robert J. Brill</i>	Telephone	312-346-2800
		Date	06/06/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/30 (10-01)
Approved for use through 10/31/2002. OMB 0581-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL Address to: Commissioner for Patents Box RCE Washington, DC 20231	Application Number	09/824,119
	Filing Date	04/02/2001
	First Named Inventor	Rodgson
	Art Unit	2872
	Examiner Name	Audrey Y. Chang
	Attorney Docket Number	LIT-124/GCD 98-25 N80

#8
Reg 602
RCE
J. M. Mullen
6/10/02

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☒ Other _____

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2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required).

b. ☐ Other _____

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1941

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.138 and 1.17)

iii. ☐ Other _____

b. ☐ Check in the amount of \$ _____ enclosed

c. ☐ Payment by credit card. (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Robert J. Brill	Registration No. (Attorney/Agent)	36,760
Signature	<i>Robert J. Brill</i>	Date	June 6, 2002

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Robert J. Brill	Date	June 6, 2002
Signature	<i>Robert J. Brill</i>		

Burden Hour Statement: This form is estimated to take 1/2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Hodgson, et al. EXAMINER: Audrey Y. Chang
SERIAL NO.: 09/824,119 GROUP: 2872
FILED: 04/02/2001 DOCKET: LIT-124/GCD 98-25 N00
TITLE: ARCHITECTURE FOR LARGE OPTICAL FIBRE ARRAY USING
STANDARD 1x2 COUPLERS

TRANSMITTAL LETTER

Box RCE
Assistant Commissioner for Patents
Group Art Unit 2872
Attention: Examiner Audrey Y. Chang
Washington, D.C. 20231
Fax Number (703) 872-9318

FAX COPY RECEIVED**JUN 6 2002**

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Dear Sir:

Enclosed are the following documents pertaining to the above-referenced case:

Request for Continued Examination Transmittal Form PTO/SB/30
Fee Transmittal Form PTO/SB/17 (in duplicate)
Terminal Disclaimer to Obviate A Double Patenting Rejection Over a Prior
Patent PTO/SB/26
26 -page Response to Final Office Action

Please contact the undersigned with any questions. In the event of non-payment or improper payment of a required fee, the Commissioner is hereby authorized to charge or credit the Grossman, Patti & Brill Deposit Account No. 50-1941 as required to correct the error. A duplicate copy of this letter is enclosed for fee purposes.

Respectfully submitted,

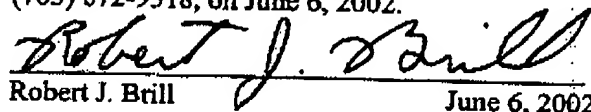


Robert J. Brill
Reg. No. 36,760

Robert J. Brill
Grossman, Patti & Brill
Customer Number 32205

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile transmission to Box RCE, Assistant Commissioner for Patents, Group Art Unit 2872, Attention: Examiner Audrey Y. Chang, Washington, D.C. 20231, at fax number (703) 872-9318, on June 6, 2002.


Robert J. Brill June 6, 2002